



J1132 U.S. PTO

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PTO/SB/05 (03-01)
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UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. H6810.0042/P042
(Only for new nonprovisional applications under 37 CFR 1.53(b))		First Inventor Kazuo Asami
		Title APPARATUS, SYSTEM AND METHOD, etc.
		Express Mail Label No. 11046 U.S.P.T.O. 10/05/02

APPLICATION ELEMENTS		ADDRESS TO:	
See MPEP chapter 600 concerning utility patent application contents.		Box Patent Application Commissioner for Patents Washington, DC 20231	
<p>1. <input checked="" type="checkbox"/> Fax Transmittal Form (e.g., PTO/SB/17) <i>(Submit on original, and a duplicate for fax processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 56] (preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fee sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claims - Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (36 U.S.C. 113) [Total Sheets 8]</p> <p>5. Oath or Declaration [Total Pages 4] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) </p> <p>6. <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76</p> <p>18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76 <ul style="list-style-type: none"> <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No _____ </p>			
ACCOMPANYING APPLICATIONS PARTS			
<p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i)</p> <p>17. <input checked="" type="checkbox"/> Other <input type="checkbox"/> Claim for Priority</p>			

For CONTINUATION or DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label/				<input type="checkbox"/> Correspondence address below	
Name	DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP Mark J. Thronson				
Address	2101 L Street NW				
City	Washington	State	DC	Zip Code	20037-1526
Country	US	Telephone	(202) 785-9700		Fax (202) 887-0689
Name (Print/Type)	Mark J. Thronson		Registration No. (Attorney/Agent)	33,082	
Signature			Date	March 6, 2002	



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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,234.00)

Complete if Known	
Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Kazuo Asami
Examiner Name	Not Yet Assigned
Group Art Unit	N/A
Attorney Docket No.	H6810.0042/P042

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None
 Deposit Account

Deposit Account Number 04-1073

Dickstein Shapiro Morin & Oshinsky LLP

The Commissioner is hereby authorized to: (check all that apply)

- Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740.00
105	330	205	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1) (\$)				740.00	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Fee from Claims below	Fee Paid
Total Claims	25	-20** = 5 x 18.00 = 90.00	
Independent Claims	4	-3** = 1 x 84.00 = 84.00	
Multiple Dependent		280.00 = 280.00	

Large Entity	Small Entity	Fee Description	Fee Paid
103	18	203 9 Claims in excess of 20	
102	84	202 42 Independent claims in excess of 3	
104	280	204 140 Multiple dependent claim, if not paid	
109	84	209 42 ** Reissue independent claims over original patent	
110	18	210 9 ** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2) (\$)			454.00

** or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
105	130	205 85 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	115 55 Extension for reply within first month	
116	400	116 200 Extension for reply within second month	
117	920	117 460 Extension for reply within third month	
118	1,440	118 720 Extension for reply within fourth month	
128	1,960	128 980 Extension for reply within fifth month	
119	320	119 160 Notice of Appeal	
120	320	120 160 Filing a brief in support of an appeal	
121	280	121 140 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	140 55 Petition to revive - unavoidable	
141	1,280	141 640 Petition to revive - unintentional	
142	1,280	142 640 Utility issue fee (or reissue)	
143	460	143 230 Design issue fee	
144	620	144 310 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Processing fee under 37 CFR 1.17(e)	
125	180	125 180 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	40.00
148	740	148 246 Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	149 370 For each additional invention to be filed in a continuing application (37 CFR 1.129(b))	
179	740	179 279 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)

40.00

Complete if applicable

SUBMITTED BY	Name (Print/Type)	Signature	Registration No (Attorney/Agent)	Telephone	Date
	Mark J. Thronson		33,082	(202) 785-9700	
Signature					March 6, 2002